Characteristics of hydraulic fracture surface based on 3D scanning technology

The surface characteristics of fractured specimens are important in hydraulic fracturing laboratory experiments. In this paper we present a three-dimensional (3D) scanning device assembled to study these surface characteristics. Cubeshaped coal rock specimens were produced in the laboratory and subjected to triaxial loading until the specimen split in two in a hydraulic fracturing experiment. Each fractured specimen was placed on a rotating platform and scanned to produce 3D surface coordinates of the surface of the fractured coal specimen. The scanned data was processed to produce high-precision digital images of the fractured model, a surface contour map, and accurate values of the surface area and specimen volume. The images produced by processing the 3D scanner data provided detailed information on the morphology of the fractured surface and the mechanism of fracture propagation. High-precision 3D mapping of the fractured surfaces is essential for quantitative analysis of fractured specimens. The 3D scanning technology presented here is an important tool for the study of fracture characteristics in hydraulic fracturing experiments.

Keywords: Surface characteristic; hydraulic fracturing; 3D scanning; 3D coordinates; surface area.

1. Introduction

Hydraulic fracturing is an effective method for coal bed methane (CBM) drainage that is widely used in coal mines across the globe [1]. Hydraulic fracturing is influenced by multiple factors, including the in situ stress of the rock, natural fractures, mechanical parameters of the coal rock, and flow rate [2-5]. The main fracture propagates parallel to the direction of maximum horizontal stress. There are different types of natural fractures in coal seams, these cause anisotropy and discontinuity in the coal rock and greatly affect the deformation and mechanical strength of the coal rock and the permeability of the CBM reservoirs and fracture network [6]. The mechanical parameters of coal rock are the basis for studying the mechanism of hydraulic fracturing in CBM reservoirs. Hydraulic fracturing experiments are an effective means of studying the efficiency and mechanism of hydraulic fracturing [7]. Such experiments can monitor hydraulic fracturing visually and over time. Many studies have focused on fracture morphology and mechanism of propagation [8]. Experimental devices for monitoring hydraulic fracturing include CT scanning, tracer agents, digital photography, acoustic emission, and microscopic observation [9-12]. When fracturing fluid is flowing in a specimen, the structure and roughness of the fractured specimen directly affect the fracture morphology and propagation [13,14]. However, the processes related to fracture morphology and the mechanism of fracture propagation are not well understood, and relevant research on the roughness and structure of fractured specimens is scarce. There are difficulties in accurately monitoring the surface structure and roughness of fractured specimens using existing monitoring equipment. Moreover, studies on the characteristics of fractured specimens lack qualitative descriptions and are short of quantitative analysis. Therefore, it is important to develop an effective device that can extract the characteristics of fractured rock specimens.

In this article, a 3D scanning device is utilized to scan a fractured coal rock specimen, based on the scanned data, the following characteristics of the fractured specimen are extracted: 3D coordinates, surface area, volume, shaded relief image, 3D scanned image, and contour map. Compared to digital photos, the characteristics extracted from the fractured specimen using the 3D scanner provide clearer and more detailed observations of the structure, roughness, and variations of the fractured surface. The technique presented in this paper can be used to obtain quantitative information of specimens used in hydraulic fracturing experiments.

2. Description of hydraulic fracturing experimental device

2.1 DEVICE FOR 3D SCANNING OF COAL ROCK SPECIMENS

The main components of the 3D scanning device are two OKIO-B non-contact 3D scanner, rotating platform (Fig.1) and

Messrs. Fan Zhang, Geng Ma, Yunqi Tao and Xiao Liu, School of Energy Science and Engineering, Henan Polytechnic University, Jiaozuo, Henan 454 003, Yixin Liu, State Key Laboratory for Coal Mine Disaster Dynamics and Control, Chongqing University, Chongqing 400 044 and Rui Li, Applied Technical College, China University of Mining and Technology, Xuzhou, Jiangsu 221 008, China. Corresponding author: Fan Zhang, e-mail: 379591198@qq.com

3D scanning software. The device performs fast non-contact scanning and provides 3D coordinates of the scanned object. A 3D model of the surface of the fractured specimen is produced and displayed automatically based on the highprecision extracted 3D coordinates.



Fig.1 3D scanning device for coal rock specimen

Compared to CT scanning, the device has the advantages of low cost and short experiment time. The 3D scanning image of the target can be observed in real time during the scanning process. The OKIO-B non-contact 3D scanner is easy to operate and has a high-precision charge-coupled device (CCD) sensor, which has been widely applied to industrial and geotechnical engineering. The 3D scanning precision ranges from 0.01 to 0.02 mm and the 3D scanning average distance ranges from 0.07 to 0.15 mm.

After hydraulic fracturing, the fractured coal specimen is placed on a rotating platform for non-contact 3D scanning to obtain the structure, roughness, and other characteristics of the fractured specimen. Black spots on the surface of the fractured specimen will result in blanks in the 3D model. To fill these blanks, dye penetrant inspection materials are used on the specimen.

2.2 Hydraulic fracturing experimental system

The hydraulic fracturing system is composed of a true triaxial hydraulic fracturing device, fracturing pump system, data collection system, and other components, as shown in Fig.2.

The true triaxial hydraulic fracturing device (Fig.2a) consists of a stiff frame, hydraulic jack, steel plate, and steel tube. A cube specimen is placed on the stiff frame. Specific stresses are applied to the specimen by hydraulic jacks. The maximum loading range is 100 kN, the value of the applied stress is displayed on the meter installed on the hydraulic jack. The directions of maximum horizontal stress ($\sigma_{\rm H}$), minimum horizontal stress ($\sigma_{\rm h}$), and vertical stress ($\sigma_{\rm v}$) are shown in Fig.2a. The specimen is held in the stiff frame by steel plates and the relevant stresses are applied gradually and uniformly according to the designed experiment programme. Steel tubes are installed between the steel plates and the stiff frame to ensure the stability and balance of the cube specimen.

The fracturing pump system (Fig.2b) consists of a servo supercharger, water tank, and control valve, controlled by specific software. The fracturing fluid can be pumped at a rate of displacement or pressure. The water pressure and flow rate of the fracturing fluid are digitally monitored and displayed on the computer screen. The data collection system is composed of a pressure sensor connected to the fracturing pump system controlled by the True Triaxial Testaid Software. The data (injection time, water pressure, and flow rate) can be saved in real time. Red fracturing fluid was used as a tracer agent to observe the fracture propagation pattern.

3. Example tests

3.1 Specimens

The identical cube specimens (Fig.3) were of dimensions $200 \times 200 \times 200$ mm and made of cement, gypsum, and



Fig.2 Hydraulic fracturing experimental system: (a) true triaxial hydraulic fracturing device; (b) fracturing pump system



Fig.3 Cube specimens made of similar materials

pulverized coal. The coal rock was collected from coal seam No. 4 of the Xintian coal mine in Guizhou province, China. After a series of ratio tests, a certain ratio (the proportion of cement, gypsum, pulverized coal was 2, 1, 1) was chosen to form the cube specimens. The material was tested to obtain its mechanical parameters, which were close to those of coal rock. The test yielded a compressive strength of 5.38 MPa, tensile strength of 0.60 MPa, elastic modulus of 0.78 GPa, Poisson's ratio of 0.25, and firmness coefficient of 0.82.

3.2 Characteristics of the fractured surface

A cube specimen was placed under a horizontal stress of 1.50 MPa and the characteristics of the fractured specimen

were analyzed by the 3D scanning device, as shown in Fig.4.

The flow path of the fracturing fluid can be inferred from the red zone on the fractured specimen, as shown in Fig.4. We the fracture can deduce morphology and propagation from the digital photo. The black spots on the fractured surface cannot be accurately scanned, therefore, they are filled with dye penetrant inspection material before scanning. To obtain a full set of 3D data points of the fractured surface, the specimen is placed under the OKIO-B noncontact high-precision 3D scanner on a continuously rotating platform. The scanned data is processed automatically to produce the 3D model.

Fig.4 shows that the fracture propagates perpendicular to the direction of minimum horizontal stress. The characteristics of the fractured surface, including the



Fig.4 Fractured specimen showing 'red zone' indicting fluid propagation path

shaded relief model, 3D scanning image, contour map, surface area, volume, and 3D coordinates, can be derived by the 3D scanning device.

Compared to the digital photograph of the fractured specimen (Fig.5a), the 3D shaded relief image (Fig.5b) produced by the 3D scanner presents a much clearer view of the variation and roughness of the fractured surface. The 3D coloured scanning image (Fig.5c) illustrates the fine structural details of the fractured surface. The contour map of the fractured surface is shown in Fig.5d, the areas where the contour lines are close together represent a more complex fracture pattern, where the dynamic effect of the fracturing



Fig.5 3D imaging and map produced by the 3D scanner: (a) digital image of the fractured specimen; (b) shaded relief model of the fractured specimen; (c) 3D scanning image of the fractured specimen; (d) Contour map of the fractured specimen

fluid is stronger and the flow rate is higher. Based on the 3D coordinate dataset, the surface area of the fractured surface and the volume of the fractured specimen are 41820.67 mm² and 3317200.78 mm³, respectively.

4. Conclusions

A 3D scanning device was used to scan the surface of fractured coal rock specimens and extract the surface characteristics. The specimens were fractured in a hydraulic fracturing experiment. The scanned data was processed to produce a shaded relief model of the fractured specimen, a 3D scanning image, and a contour map of the fracture surface, generating a clear and detailed representation of the fracture morphology and propagation. A new method is proposed to quantitively study hydraulic fracturing. Using the 3D coordinates extracted from the scanner, the surface area of the fractured surface and the volume of the fractured specimen can be derived for quantitative analysis. The 3D scanning device for coal rock specimens is an efficient diagnostic tool for hydraulic fracture experiments.

Acknowledgements

The authors would like to thank the Major Work on Innovation Methods of the Ministry of Science and Technology of China in 2016 (No.2016IM010400); National Natural Foundation of China in 2014 (No.71472171) for financial support of this work.

References

- Abbas, Majdi, Hassani, Ferri P. and Nasiri, Mehdi Yousef (2012): "Prediction of the height of destressed zone above the mined panel roof in longwall coal mining." *International Journal of Coal Geology*, 2012, 98: 62-72. DOI: 10.1016/j.coal.2012.04.005.
- 2. Karacan, C. O. and Okandan, E. (2000): "Fracture/cleat analysis of coals from Zonguldak Basin (northwestern Turkey) relative to the potential of coalbed methane production." *International Journal of Coal Geology*, 2000, 44: 109-125. DOI: 10.1016/S0166-5162(00)00004-5.
- 3. Jiang, Tingting, Zhang, Jianhua and Wu, Hao (2017): "Impact analysis of multiple parameters on fracture formation during volume fracturing in coalbed methane reservoirs." *Current Science*, 2017, 112(2): 332-347. DOI: 10.18520/cs/v112/i02/332-347.
- 4. Huang, Saipeng, Liu, Dameng and Yao, Yanbin, et al. (2017): "Natural fractures initiation and fracture type prediction in coal reservoir under different in-situ stresses during hydraulic fracturing." *Journal of Natural Gas Science and Engineering*, 2017, 43: 69-80. DOI: 10.1016/j.jngse.2017.03.022.
- 5. Naghi, Dehghan Ali, Kamran, Goshtasbi and Kaveh, Ahangari, et al. (2016): "Mechanism of fracture initiation and propagation using a tri-axial hydraulic fracturing test system in naturally fractured reservoirs." *European*

Journal of Environmental and Civil Engineering, 2016, 20(5): 560-585. DOI: 10.1080/19648189.2015.1056384.

- 6. Li, Quangui, Lin, Baiquan, Cheng, Zhai (2014): "The effect of pulse frequency on the fracture extension during hydraulic fracturing." *Journal of Natural Gas Science and Engineering*, 2014, 21: 296-303. DOI: 10.1016/j.jngse.2014.08.019.
- Jiang, Tingting, Zhang, Jianhua and Wu, Hao (2016): "Experimental and numerical study on hydraulic fracture propagation in coalbed methane reservoir." *Journal of Natural Gas Science and Engineering*, 2016, 35: 455-467. DOI: 10.1016/j.jngse.2016.08.077.
- Guo, Tiankui, Zhang, Shicheng and Qu, Zhanqing, et al. (2014): "Experimental study of hydraulic fracturing for shale by stimulated reservoir volume." *Fuel*, 2014, 128: 373-380. DOI: 10.1016/j.fuel.2014.03.029.
- 9. Zou, Yushi, Zhang, Shicheng and Zhou, Tong, et al. (2016): "Experimental investigation into hydraulic fracture network propagation in gas shales using CT scanning technology." *Rock Mechanics and Rock Engineering*, 2016, 49(1): 33-45. DOI: 10.1007/s00603-015-0720-3.
- Tsuyoshi, Ishida (2001): "Acoustic emission monitoring of hydraulic fracturing in laboratory and field." *Construction and Building Materials*, 2001, 15(5-6): 283-295. DOI: 10.1016/S0950-0618(00)00077-5.
- Sergey, Stanchits, Jeffrey, Burghardt and Aniket, Surdi (2015): "Hydraulic fracturing of heterogeneous rock monitored by acoustic emission." *Rock Mechanics and Rock Engineering*, 2015, 48(6): 2513-2527. DOI: 10.1007/ s00603-015-0848-1.
- Chen, Youqing, Yuya, Nagaya and Tsuyoshi, Ishida (2015): "Observations of fractures induced by hydraulic fracturing in anisotropic granite." *Rock Mechanics and Rock Engineering*, 2015, 48(4): 1455-1461. DOI: 10.1007/ s00603-015-0727-9.
- Kulatilake, P. H. S. W., Um, J. and Panda, B. B., et al. (1998): "Development of a new peak shear-strength criterion for anisotropic rock joints." *International Journal of Rock Mechanics and Mining Sciences*, 1998, 35(4-5): 418-420. DOI: 10.1016/S0148-9062(98)00056-4.
- Andrade, P. S. and Saraiva, A. A. (2008): "Estimating the joint roughness coefficient of discontinuities found in metamorphic rocks." *Bulletin of Engineering Geology and the Environment*, 2008, 67(3): 425-434. DOI: 10.1007/ s10064-008-0151-4.

Authors contributions

Fan Zhang, Geng Ma and Xiao Liu conceived the experiments. Fan Zhang and Yixin Liu performed the experiments. Fan Zhang, Geng Ma and Yunqi Tao analyzed the experimental results and prepared the manuscript. All authors reviewed the manuscript.

Conflicts of interest

The authors declare no conflicts of interest.