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# Simulation of Self Tuning Shape Memory Alloy Based PZT Energy Harvester

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#### Abstract

Shape Memory Alloy (SMA) is tuned to match the frequency of excitation with the resonance frequency. Simulation is carried out numerically using COMSOL 5.3 software. This model consists of cantilevered beam without tip mass, PZT layer, Aluminium beam and SMA layer. Lead Zirconium titanate (PZT – 5A) is used as PZT layer for the conversion of energy. Harvesters power frequency response for different frequency ranges are carried out. The maximum output is obtained in excitation frequency with SMA and the results were compared without SMA material. The numerical simulation of the Frequency Response Functions (FRF) was compared with the analytical frequency response functions of the harvester. The maximum difference between the numerical and analytical results is 9.77 % in FRF's and 1.85 % in resonance frequency. Materials used are Lead Zirconium titanate (PZT – 5A), SMA material and Aluminium beam which reaches the scopes of journal.

Keywords: Energy Harvester, Numerical Simulation, PZT, Shape Memory Alloy

### **1.0 Introduction**

Energy harvester captures the ambient frequency from the different sources of the environment like sunlight, pressure, mechanical vibration, wind and converts it to electrical power. This harvested energy is stored in circuits with capacitors as well as batteries and used to power electronic and electrical devices such as wireless sensors, micropumps, etc. Hence this portable electronic equipment becomes self-powered. For many years' energy harvesting is processed using Piezoelectric (PZT) material which converts mechanical energy into a voltage output. Researchers studied the numerical model of energy harvester using finite element method. Mohsen Safaei

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reviewed the summarization of various developments on energy harvesting using PZT<sup>1</sup>. Energy harvesting using a dynamic magnifier is studied numerically using the Hamilton principle<sup>2</sup>. Kim et al.<sup>3</sup> developed a numerical model of Shape memory alloy plate reflecting the tension/compression asymmetry of axial stress due to pure bending and ABAQUS was used for efficient computation and verified experimentally later. The tunable shape memory alloy based harvesting energy with piezoelectric material by changing SMA's martensite volume fraction is studied<sup>4-6</sup>. Numerical simulation as well as analytically studied energy harvesting using MEMS devices in nonlinear regim7 Belkourchia et al.8 studied the simulation of energy harvesting for the FSI model from ocean waves and beams using PZT material. Mekhalfia9 optimized thermal energy harvesting by PZT using shape memory alloy. Many researchers worked on energy harvesting using PZT material<sup>10-17</sup>. The proposed model consists of a cantilevered beam without tip mass composed of PZT, substructure and SMA material. To alter the natural frequency of composite cantilever beam SMA material is used. The maximum output is obtained

in excitation frequency with SMA and the results were compared without SMA material.

### 2.0 Simulation using COMSOL

Figure 1 shows the SMA based tunable PZT energy harvester with base excitation without tipmass. This is modelled using the finite element method in COMSOL Multiphysics 5.3 software and used to know the response function of frequency like power FRF.

Figure 2 shows the rectangular-shaped cantilevered energy harvester with three dimensional geometry. This beam consists of a PZT layer at the top, the Aluminium beam is used as substructure in the middle and SMA layer is attached to the bottom. For energy conversion, lead Zirconium titanate (PZT – 5A) is used. SMA is used to tune the harvester resonant frequency. Table 1 shows the material properties and harvester dimensions.

A tunable SMA based energy harvester is fixed at one end by applying fixed constraints on the vertical face to all three layers. On the other end, all three layers are left free to vibrate in the vertical direction. Input force per unit



Figure 1. SMA based frequency tunable piezoelectric energy harvester.



Figure 2. Geometry of the SMA based tunable energy harvester.

Length of beam,	32
Width of the beam,	5
Thickness of PZT,	0.15
Thickness of substructure,	0.05
Mass moment of inertia at the tip,	
Youngs modulus of PZT,	61
Youngs modulus of substructure,	100
Youngs modulus of austenite	73.3
Youngs modulus of martensite	30
Maximum residual strain	10
Martensite start stress,	371
Martensite final stress,	613.3
Mass density of PZT,	7750
Mass density of substructure,	2700
Mass density of SMA,	6500
Piezoelectric constant,	-10.4
Permittivity constant,	13.3

**Table 1.** Properties and geometry of the tunable SMAbased energy harvester

volume is applied to the cantilevered beam as an energy source. In order to pole, the upper face of the piezoelectric layer is connected to d31 mode with floating potential and grounding is applied in the bottom face PZT layer. The remaining faces of the PZT layer are charged with zero constraints. External load resistance is applied through the electrostatic circuit.

#### 2.1 Finite Element Discretization

To obtain more accurate results for frequency and higher order mode shapes a very fine mesh is used in COMSOL. The density of mesh is changed in six different shapes with different sizes of elements from extreme coarse (8057 elements) to fine coarse (234462 elements) to check the simulation effect on computation time. Using physics controlled sequence, the tetrahedral elements with coarse mesh 37298 elements are used to minimise the computation time. Figure 3 shows the meshed model with 5007 domain elements in the global model, 408 elements in edge, 2816 elements in the boundary and 29607 elements in degree freedom. Fixed state condition is considered in the simulation of this model. Global response of the SMA based tunable energy harvester subjected to harmonic excitation is solved using a MUMPS solver.

#### 2.2 Mathematical Model of the Tunable Energy Harvester without Tip mass

The non-linear partial differential equation for SMA based PZT energy harvester without tip mass is given by

$$-\frac{\partial^2 N(x,t)}{\partial x^2} + d_s I \frac{\partial^5 U_{rel}(x,t)}{\partial x^4 \partial t} + d_a \frac{\partial U_{rel}(x,t)}{\partial t} + m \frac{\partial^2 U_{rel}(x,t)}{\partial t^2} = -m \frac{\partial^2 U_b(x,t)}{\partial t^2} .$$
(1)



y x

Figure 3. Meshed model of the SMA based tunable energy harvester.

where, N(x,t) is internal bending moment,  $U_{rel}$  (x,t) is the displacement of the beam in transverse direction,  $d_s I$  denotes the composite beam damping constant,  $d_a$  is

constant of viscous air damping, m is mass per unit length of beam, Lis the length of beam, and  $U_b$  (x,t) is the base excitation.





Flowchart as shown in Figure 4 shows the algorithm for solving the problem. The expression for the power frequency response function is given in Equation  $(2)^4$ .

$$\frac{p(t)}{(-\omega^2 e^{j\omega t})^2} = \frac{1}{R_l} \left( \frac{\sum_{k=1}^{\infty} \frac{-j\omega\theta_k M_k}{\varphi_k^2 - \omega^2 + j2\xi_k \varphi_k \omega}}{\frac{1}{R_l} + j\omega C_p + \sum_{k=1}^{\infty} \frac{j\omega\theta_k^2}{\varphi_k^2 - \omega^2 + j2\xi_k \varphi_k \omega}} \right)^2$$
(2)

Here,  $M_k$  amplitude of mechanical forcing function,  $\xi_k$  damping ratio,  $\omega$  frequency of the excitation,  $R_1$  resistive load,  $\emptyset_k$  undamped natural frequency,  $\theta_k$  modal coupling,  $C_p$  equivalent capacitance, t time constant, k denotes the number of modes, and  $j=\sqrt{(-1)}$ .



**Figure 5.** Power FRF for the first mode of vibration  $\zeta_s=0$ .



**Figure 6.** Power FRF for the first mode of vibration  $\zeta = 0.5$ .



**Figure 7.** Power FRF for the first mode of vibration  $\zeta_s = 1$ .



**Figure 8.** Power FRF with/without SMA effect at  $R_l=100$  k $\Omega$  (a) Analytical (b) Numerical.



Figure 9. Eigen Frequencies (a) 181.06 Hz (b) 189.42 Hz.

### 3.0 Simulation and Discussion

Self-tuning electromechanical SMA based PZT energy harvester is simulated to study the frequency response function power and eigen frequency in the frequency domain. For the first mode of vibration, the tunable SMA based energy harvester is simulated to match resonant/excitation frequency with natural frequency for power FRF. Numerical results are compared with the analytical results for different types of martensite volume fractions  $\zeta_s=0$ , 0.5 and  $\zeta_s=1$ . A graph of power FRF versus frequency for various load resistance  $R_1$  and different martensite volume fraction  $\zeta_s$  are drawn for the first mode of vibration shown in Figures 5–7. It is observed that maximum power output is obtained at  $R_1=100 \text{ k}\Omega$  for  $\zeta_s=0$  and  $\zeta_s=1$ . The power FRF outputs produced from piezoelectric for different volume fraction at resonant frequency are tabulated in Tables 2 and 3 for

Table 2. Com	parison of a	short and ope	n circuit	resonance	frequencies	for numerical	and anal	vtical model
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Model	Analytical				Numerical			
Martensite volume fraction	ζ <sub>s</sub> =0	$\zeta_s=1$	shift	Tuning (%)	$\zeta_s=0$	$\zeta_s=1$	shift	Tuning (%)
f <sub>k</sub> <sup>sc</sup> (Hz) (short circuit)	179.5	189.3	9.8	5.5	181.3	189.7	8.4	4.6
f <sup>oc</sup> <sub>k</sub> (Hz) (open circuit)	183.0	191.1	8.1	4.4	184.7	193.5	8.8	4.8

Optimum load (Ω)	Analytical frequency (Hz)	Numerical frequency (Hz)	Deviation (%)	Analytical power (µW/g2)	Numerical power in (µW/g2)	Deviation (%)
$\zeta_{s} = 0$						
100 k	181.7	183.8	1.16	234.01	248.59	6.23
$\zeta_s = 0.5$						
100 k	185.1	187.7	1.40	203.65	218.81	7.45
$\zeta_s = 1$						
100 k	190.5	192.5	1.05	169.56	175.53	3.52

Table 3. Comparison of numerical and analytical power FRF and corresponding resonance

**Table 4.** Comparison of numerical and analytical natural frequencies for first mode without electric circuit

Volume fraction	Analytical frequency (Hz)	Numerical frequency (Hz)	Deviation (%)
$\zeta_s=0$	179.5	181.06	0.87
$\zeta_s=1$	189.3	189.42	0.06

both analytical and numerical results. The maximum difference between the numerical and analytical results is 7.45% in power FRF and 1.40% in resonance frequency.

Power FRF of energy harvester with SMA effect is higher compared to without SMA in both numerical and analytical methods is shown in Figure 8. The model is simulated for eigen frequency by using finite element analysis without considering the electric circuit and compared with the analytical natural frequency, which is tabulated in Table 4. The first mode shape and corresponding eigen frequency are shown in Figure 9 for the different martensite volume fraction  $\zeta_s=0$  and  $\zeta_s=1$ . The physical models are simulated as accurately as possible to align as closely with analytical results. The maximum deviation between the simulation and analytical results is 0.87% only.

### 4.0 Validation

The natural frequencies of PZT harvester's numerical results closely resembled the analytical results and also

 Table 5. Comparison of the numerical, analytical and experimental natural frequencies

	Proposed model		Validated with <sup>18</sup>			
Numerical frequency (Hz)	Analytical frequency (Hz)	Deviation (%)	Numerical frequency (Hz)	Experimental frequency (Hz)	Deviation (%)	
179.5	181.06	0.87	94.5	93.7	0.85	

the deviation of this result is in good agreement with the result of  $^{18}$ (Table 5).

## 5.0 Conclusion

Numerical study on cantilevered energy harvester consists of Piezoelectric (PZT) layer, substructure layer and shape memory alloy is studied. The numerical simulation of the frequency response functions was compared with the analytical frequency response functions of the harvester. The maximum differences between the numerical and analytical results are 9.77% in FRF's and 1.85% in resonance frequency. The numerical results of FRF of the harvester's power indicated a good agreement with the results of the analytical results of FRF's.

### 6.0 Acknowledgements

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